



EXECUTIVE VICE PRESIDENT—
CHIEF FINANCIAL OFFICER

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February 4, 2020

UCOP Policy Advisory Committee

RE: Seismic Safety Policy

The revisions to the Seismic Safety Policy are based on guidance from the UC Seismic Advisory Board. Additionally, portions of the prior policy are moved to the UC Seismic Program Guidebook, which is a part of the UC Facilities Manual.

A draft of the policy revision was distributed for review in early February 2020 to the following:

- Maria Shanle, Office of General Counsel
- Kevin Confetti, Risk Services
- Kimberly Grant, Academic Personnel and Programs

Attached is a summary of the changes by section along with a redlined and clean copy of the Seismic Safety Policy.

Sincerely,

Lauren Friedman
Interim Executive Director – Capital Asset Strategies

cc: Peggy Arrivas

Attachment: Draft Seismic Safety Policy Revision August 13, 2019

Draft Seismic Safety Policy Revision August 13, 2019

SEISMIC SAFETY POLICY – SCOPE

- Policy coverage clarified in Scope to apply to UC facilities in California not under the jurisdiction of the Office of Statewide Hospital Planning and Development or the Division of the State Architect, which are the appropriate agencies for hospitals and K-14 schools.

SECTION I – POLICY SUMMARY AND APPLICABILITY

- Policy now addresses UC buildings outside of California

SECTION II – DEFINITIONS

- Added and updated definitions, and references

SECTION III – POLICY TEXT

- Policy Text language modified per OGC
- Requirements for out-of-state buildings moved to Policy Summary
- Language regarding December 31, 2030 requirement moved from Attachment C to this section
- Re-arrangement of subsections into a more logical order, and further definition and clarification of intended Policy coverage within subsections
- Some prior sections and content moved to the UC Facilities Manual:
 - Prior Section III.F: Post-Earthquake Response
 - Prior Section III J: Seismic Review
 - Prior Section III.L: Provisional Use Authorization (now named Potentially Exempt Facilities)

Section III.A – Seismic Advisory Board

- Minor language modifications

Section III.B – Seismic Risk Model

- Moved from Section III.C and made succinct

Section III.C – Ratings for Existing University Facilities

- Language re-written to clarify requirements for building ratings
- References to Attachments A and C are replaced with references to the UC Facilities Manual

Section III.D – Program for Abatement of Seismic Risks in University Facilities

- Language re-written to clarify requirements for abatement of seismic risks
- Requires high prioritization for seismic in campus capital allocation decisions

Section III.E – Seismic Standards

- Moves geotechnical language within paragraph
- Separates standards for new construction and retrofits
- Now references requirements of Section 317 of the California Existing Building Code

Section III.F – Peer Review

- Updated criteria requiring peer review

Section III.G – Standards for Acquisition by Purchase or Other Title Transfer

- Some language re-written to clarify requirements
- Buildings with a rating of VII must be brought into compliance with Policy within 12 months (previously 3 months) of title transfer. (Buildings with a rating of VII must still remain unoccupied)

Section III.H – Standards for Leased Facilities

- Some language re-written to clarify requirements
- Allows lease of a facility rated a V or VI if unoccupied until building is retrofitted
- Probable Loss Report requirement removed per SAB guidance
- Per SAB guidance, Appendix B – “Certificate of Applicable Code” is replaced with “Benchmark Table” and “Certificate of Seismic Performance Rating” within the UC Facilities Manual. The “Certificate of Applicable Code” is now obsolete due to updated design code standards.

Section III.I – Special Considerations

- Pre-Engineered Structures section removed per SAB guidance

SECTION IV – COMPLIANCE/RESPONSIBILITIES

- Some language re-written to clarify requirements

SECTION V – PROCEDURES

- Added reference to the UC Facilities Manual

SECTION VI – RELATED INFORMATION

- Appendices A, B and C moved to the UC Facilities Manual

SECTION VII – FREQUENTLY ASKED QUESTIONS

SECTION VIII – REVISION HISTORY

APPENDIX A – EXPECTED SEISMIC PERFORMANCE LEVELS

- Appendix A moved to the UC Facilities Manual

APPENDIX B – UC CERTIFICATE OF APPLICABLE CODE

- Appendix B replaced with new Benchmark Table and Certificate of Seismic Performance Rating, and moved to the UC Facilities Manual

APPENDIX C – GUIDELINES FOR PRIORITIZATION OF SEISMIC REHABILITATION

- Appendix C moved to the UC Facilities Manual